Senate



General Assembly

File No. 9

February Session, 2012

Substitute Senate Bill No. 39

Senate, March 5, 2012

The Committee on Higher Education and Employment Advancement reported through SEN. BYE of the 5th Dist., Chairperson of the Committee on the part of the Senate, that the substitute bill ought to pass.

AN ACT CONCERNING REQUIREMENTS FOR EARLY CHILDHOOD EDUCATORS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 10-16p of the 2012 supplement to the general
- 2 statutes is repealed and the following is substituted in lieu thereof
- 3 (Effective July 1, 2012):
- 4 (a) As used in sections 10-160 to 10-16s, inclusive, 10-16u, 17b-749a
- 5 and 17b-749c:
- 6 (1) "School readiness program" means a nonsectarian program that
- 7 (A) meets the standards set by the department pursuant to subsection
- 8 (b) of this section and the requirements of section 10-16q, and (B)
- 9 provides a developmentally appropriate learning experience of not less
- 10 than four hundred fifty hours and one hundred eighty days for eligible
- 11 children, except as provided in subsection (d) of section 10-16q;
- 12 (2) "Eligible children" means children three and four years of age

and children five years of age who are not eligible to enroll in school pursuant to section 10-15c, or who are eligible to enroll in school and will attend a school readiness program pursuant to section 10-16t;

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- (3) "Priority school" means a school in which forty per cent or more of the lunches served are served to students who are eligible for free or reduced price lunches pursuant to federal law and regulations, excluding such a school located in a priority school district pursuant to section 10-266p or in a former priority school district receiving a grant pursuant to subsection (c) of this section and, on and after July 1, 2001, excluding such a school in a transitional school district receiving a grant pursuant to section 10-16u;
- (4) "Severe need school" means a school in a priority school district pursuant to section 10-266p or in a former priority school district in which forty per cent or more of the lunches served are served to students who are eligible for free or reduced price lunches;
- 28 (5) "Accredited" means accredited by the National Association for 29 the Education of Young Children, a Head Start on-site program review 30 instrument or a successor instrument pursuant to federal regulations, 31 or otherwise meeting such criteria as may be established by the 32 commissioner, in consultation with the Commissioner of Social 33 Services, unless the context otherwise requires;
- 34 (6) "Year-round" means fifty weeks per year, except as provided in 35 subsection (d) of section 10-16q;
- 36 (7) "Commissioner" means the Commissioner of Education; and
 - (8) "Department" means the Department of Education.
- (b) (1) The Department of Education shall be the lead agency for school readiness. For purposes of this section and section 10-16u, school readiness program providers eligible for funding from the Department of Education shall include local and regional boards of education, regional educational service centers, family resource centers and providers of child day care centers, as defined in section 19a-77,

44 Head Start programs, preschool programs and other programs that 45 meet such standards established by the Commissioner of Education. 46 The department shall establish standards for school readiness 47 programs. The standards may include, but need not be limited to, 48 guidelines for staff-child interactions, curriculum content, including 49 preliteracy development, lesson plans, parent involvement, staff 50 qualifications and training, transition to school and administration. 51 The department shall develop age-appropriate developmental skills 52 and goals for children attending such programs. The commissioner, in 53 consultation with the president of the Board of Regents for Higher 54 Education, the Commissioner of Social Services and other appropriate 55 entities, shall develop a continuing education training program for the 56 staff of school readiness programs.

(2) For purposes of this section:

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(A) Prior to July 1, 2015, "staff qualifications" means there is in each classroom an individual who has at least the following: (i) A childhood development associate credential or an equivalent credential issued by an organization approved by the Commissioner of Education and twelve credits or more in early childhood education or child development, as determined by the president of the Board of Regents for Higher Education, after consultation with the Commissioners of Education and Social Services, from an institution of higher education (1) accredited by the Board of Regents for Higher Education or State Board of Education, and (2) regionally accredited; (ii) an associate's degree with twelve credits or more in early childhood education or child development, as determined by the president of the Board of Regents for Higher Education, after consultation with Commissioners of Education and Social Services, from such an institution; (iii) a four-year degree with twelve credits or more in early childhood education or child development, as determined by the president of the Board of Regents for Higher Education, after consultation with the Commissioners of Education and Social Services, from such an institution; or (iv) certification pursuant to section 10-145b with an endorsement in early childhood education or special

education;

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(B) From July 1, 2015, to June 30, 2020, "staff qualifications" means that for each early childhood education program accepting state funds I, including school readiness or childcare services funds and funds from the Department of Social Services for spaces associated with such program's child day care program or school readiness program, (i) at least fifty per cent of those individuals with the primary responsibility for a classroom of children hold (I) certification pursuant to section 10-145b with an endorsement in early childhood education or early childhood special education, or (II) a bachelor's degree with a concentration in early childhood education, including, but not limited to, a bachelor's degree in early childhood education, child study, child development or human growth and development, from an institution of higher education (1) accredited by the Board of Regents for Higher Education or State Board of Education, and (2) regionally accredited, provided such bachelor's degree program is approved by the Board of Regents for Higher Education and the Department of Education, and (ii) such remaining individuals with the primary responsibility for a classroom of children hold an associate degree with a concentration in early childhood education, including, but not limited to, an associate's degree in early childhood education, child study, child development or human growth and development, from an institution of higher education (1) accredited by the Board of Regents for Higher Education or State Board of Education, and (2) regionally accredited, provided such associate degree program is approved by the Board of Regents for Higher Education and the Department of Education; and

(C) On and after July 1, 2020, "staff qualifications" means that for each early childhood education program accepting state funds [, including school readiness or childcare services funds and funds from the Department of Social Services] for spaces associated with such program's child day care program or school readiness program, one hundred per cent of those individuals with the primary responsibility for a classroom of children hold (i) certification pursuant to section 10-145b with an endorsement in early childhood education or early

childhood special education, or (ii) a bachelor's degree with a 112 113 concentration in early childhood education, including, but not limited to, a bachelor's degree in early childhood education, child study, child 115 development or human growth and development, from an institution 116 of higher education (1) accredited by the Board of Regents for Higher 117 Education or State Board of Education, and (2) regionally accredited, 118 provided such bachelor's degree program is approved by the Board of 119 Regents for Higher Education and the Department of Education.

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(3) Any individual with a bachelor's degree who, on or before June 30, 2015, is employed as a teacher by an early childhood education program that accepts state funds [, including school readiness or childcare services funds and funds from the Department of Social Services, for spaces associated with such program's child day care program or school readiness program and meets the staff qualifications required under subparagraph (A) of subdivision (2) of this subsection shall be considered to meet the staff qualifications required under subparagraphs (B) and (C) of subdivision (2) of this subsection. No such early childhood education program shall terminate any such individual from employment for purposes of meeting the staff qualification requirements set forth in subparagraph (B) or (C) of subdivision (2) of this subsection. Any such individual who terminates his or her employment with such early childhood education program and accepts a teacher position at another early childhood education program accepting state funds for spaces associated with such program's child day care program or school readiness program shall submit documentation of such individual's progress toward meeting the staff qualification requirements set forth in subparagraph (B) or (C) of subdivision (2) of this subsection in a manner determined by the Department of Education.

(4) Any individual with a bachelor's degree other than those bachelor's degrees specified in subparagraphs (A) and (B) of subdivision (2) of this subsection may submit documentation concerning such degree for review and assessment by the Department of Education as to whether such degree has a sufficient concentration

in early childhood education so as to satisfy the requirements set forth in said subparagraphs (A) and (B).

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(c) The Commissioner of Education, in consultation with the Commissioner of Social Services, shall establish a grant program to provide spaces in accredited school readiness programs for eligible children who reside in priority school districts pursuant to section 10-266p or in former priority school districts as provided in this subsection. Under the program, the grant shall be provided, in accordance with this section, to the town in which such priority school district or former priority school district is located. Eligibility shall be determined for a five-year period based on an applicant's designation as a priority school district for the initial year of application, except that if a school district that receives a grant pursuant to this subsection is no longer designated as a priority school district at the end of such five-year period, such former priority school district shall continue to be eligible to receive a grant pursuant to this subsection. Grant awards shall be made annually contingent upon available funding and a satisfactory annual evaluation. The chief elected official of such town and the superintendent of schools for such priority school district or former priority school district shall submit a plan for the expenditure of grant funds and responses to the local request for proposal process to the Departments of Education and Social Services. The departments shall jointly review such plans and shall each approve the portion of such plan within its jurisdiction for funding. The plan shall: (1) Be developed in consultation with the local or regional school readiness council established pursuant to section 10-16r; (2) be based on a needs and resource assessment; (3) provide for the issuance of requests for proposals for providers of accredited school readiness programs, provided, after the initial requests for proposals, facilities that have been approved to operate a child care program financed through the Connecticut Health and Education Facilities Authority and have received a commitment for debt service from the Department of Social Services pursuant to section 17b-749i, are exempt from the requirement for issuance of annual requests for proposals; and (4) identify the need for funding pursuant to section 17b-749a in order to extend the hours

and days of operation of school readiness programs in order to provide child day care services for children attending such programs.

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(d) (1) The Commissioner of Education, in consultation with the Commissioner of Social Services, shall establish a competitive grant program to provide spaces in accredited school readiness programs for eligible children who reside (A) in an area served by a priority school or a former priority school as provided for in subdivision (2) of this subsection, (B) in a town ranked one to fifty when all towns are ranked in ascending order according to town wealth, as defined in subdivision (26) of section 10-262f, whose school district is not a priority school district pursuant to section 10-266p, or (C) in a town formerly a town described in subparagraph (B) of this subdivision, as provided for in subdivision (2) of this subsection. A town in which a priority school is located, a regional school readiness council, pursuant to subsection (c) of section 10-16r, for a region in which such a school is located or a town described in subparagraph (B) of this subdivision may apply for such a grant in an amount not to exceed one hundred seven thousand dollars per priority school or town. Eligibility shall be determined for a five-year period based on an applicant's designation as having a priority school or being a town described in subparagraph (B) of this subdivision for the initial year of application. Grant awards shall be made annually contingent upon available funding and a satisfactory annual evaluation. The chief elected official of such town and the superintendent of schools of the school district or the regional school readiness council shall submit a plan, as described in subsection (c) of this section, for the expenditure of such grant funds to the Department of Education. In awarding grants pursuant to this subsection, the commissioner shall give preference to applications submitted by regional school readiness councils and may, within available appropriations, provide a grant in excess of one hundred seven thousand dollars to towns with two or more priority schools in such district. A town or regional school readiness council awarded a grant pursuant to this subsection shall use the funds to purchase spaces for such children from providers of accredited school readiness programs.

(2) (A) Except as provided in subparagraph (C) of this subdivision, commencing with the fiscal year ending June 30, 2005, if a town received a grant pursuant to subdivision (1) of this subsection and is no longer eligible to receive such a grant, the town may receive a phase-out grant for each of the three fiscal years following the fiscal year such town received its final grant pursuant to subdivision (1) of this subsection.

- (B) The amount of such phase-out grants shall be determined as follows: (i) For the first fiscal year following the fiscal year such town received its final grant pursuant to subdivision (1) of this subsection, in an amount that does not exceed seventy-five per cent of the grant amount such town received for the town or school's final year of eligibility pursuant to subdivision (1) of this subsection; (ii) for the second fiscal year following the fiscal year such town received its final grant pursuant to subdivision (1) of this subsection, in an amount that does not exceed fifty per cent of the grant amount such town received for the town's or school's final year of eligibility pursuant to subdivision (1) of this subsection; and (iii) for the third fiscal year following the fiscal year such town received its final grant pursuant to subdivision (1) of this subsection, in an amount that does not exceed twenty-five per cent of the grant amount such town received for the town's or school's final year of eligibility pursuant to subdivision (1) of this subsection.
- (C) For the fiscal year ending June 30, 2011, and each fiscal year thereafter, any town that received a grant pursuant to subparagraph (B) of subdivision (1) of this subsection for the fiscal year ending June 30, 2010, shall continue to receive a grant under this subsection even if the town no longer meets the criteria for such grant pursuant to subparagraph (B) of subdivision (1) of this subsection.
- (e) (1) For the fiscal year ending June 30, 2009, and each fiscal year thereafter, priority school districts and former priority school districts shall receive grants based on the sum of the products obtained by (A) multiplying the district's number of contracted slots on March thirtieth

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of the fiscal year prior to the fiscal year in which the grant is to be paid, by the per child cost pursuant to subdivision (2) of subsection (b) of section 10-16q, except that such per child cost shall be reduced for slots that are less than year-round, and (B) multiplying the number of additional or decreased slots the districts have requested for the fiscal year in which the grant is to be paid by the per child cost pursuant to subdivision (2) of subsection (b) of section 10-16q, except such per child cost shall be reduced for slots that are less than year-round. If said sum exceeds the available appropriation, such number of requested additional slots shall be reduced, as determined by the Commissioner of Education, to stay within the available appropriation.

- (2) (A) If funds appropriated for the purposes of subsection (c) of this section are not expended, the Commissioner of Education may deposit such unexpended funds in the account established under section 10-16aa and use such unexpended funds in accordance with the provisions of section 10-16aa.
- (B) For the fiscal year ending June 30, 2012, and each fiscal year thereafter, if funds appropriated for the purposes of subsection (c) of this section are not expended, an amount up to five hundred thousand dollars of such unexpended funds may be available for use in accordance with the provisions of this subparagraph for the subsequent fiscal year. The Commissioner of Education may use such unexpended funds on and after July 1, 2012, in consultation with the president of the Board of Regents for Higher Education, to support early childhood education programs accepting state funds in satisfying the staff qualifications requirements of subparagraphs (B) and (C) of subdivision (2) of subsection (b) of this section. The [local school readiness programs] Department of Education shall use any such funds to provide assistance to individual staff members, giving priority to those staff members attending an institution of higher education (1) accredited by the Board of Regents for Higher Education or State Board of Education, and (2) regionally accredited, at a maximum of five thousand dollars per staff member per year for the cost of higher education courses leading to a bachelor's degree or, not

282 later than December 31, 2013, an associate's degree, as such degrees are 283 described in said subparagraphs (B) and (C) at an in-state public 284 institution of higher education or a Connecticut-based for-profit or 285 nonprofit institution of higher education, provided such staff members 286 have applied for all available federal and state scholarships and grants, 287 and such assistance does not exceed such staff members' financial 288 need. [Local school readiness programs] Individual staff members 289 shall apply for such unexpended funds in [such program's application 290 for a school readiness grant pursuant to this section] a manner 291 determined by the Department of Education. The Commissioner of 292 Education shall determine, in consultation with the president of the 293 Board of Regents for Higher Education, how such unexpended funds 294 shall be distributed.

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(C) If funds appropriated for the purposes of subsection (c) of this section are not expended pursuant to subsection (c) of this section, deposited pursuant to subparagraph (A) of this subdivision, or used pursuant to subparagraph (B) of this subdivision, the Commissioner of Education may use such unexpended funds to support local school readiness programs. The commissioner may use such funds for purposes including, but not limited to, (i) assisting local school readiness programs in meeting and maintaining accreditation requirements, (ii) providing training in implementing the preschool assessment and curriculum frameworks, including training to enhance literacy teaching skills, (iii) developing a state-wide preschool curriculum, (iv) developing student assessments for students in grades kindergarten to two, inclusive, (v) developing and implementing best practices for parents in supporting preschool and kindergarten student learning, (vi) developing and implementing strategies for children to transition from preschool to kindergarten, (vii) providing for professional development, including assisting in career ladder advancement, for school readiness staff, and (viii) providing supplemental grants to other towns that are eligible for grants pursuant to subsection (c) of this section.

(3) Notwithstanding subdivision (2) of this subsection, for the fiscal

years ending June 30, 2008, to June 30, 2013, inclusive, the Department of Education may retain up to one hundred ninety-eight thousand two hundred dollars of the amount appropriated for purposes of this section for coordination, program evaluation and administration.

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- (f) Any school readiness program that receives funds pursuant to this section or section 10-16u shall not discriminate on the basis of race, color, national origin, gender, religion or disability. For purposes of this section, a nonsectarian program means any public or private school readiness program that is not violative of the Establishment Clause of the Constitution of the State of Connecticut or the Establishment Clause of the Constitution of the United States of America.
- (g) Subject to the provisions of this subsection, no funds received by a town pursuant to subsection (c) or (d) of this section or section 10-16u shall be used to supplant federal, state or local funding received by such town for early childhood education, provided a town may use an amount determined in accordance with this subsection for coordination, program evaluation and administration. Such amount shall be at least twenty-five thousand dollars but not more than seventy-five thousand dollars and shall be determined by the Department of Education, in consultation with the Department of Social Services, based on the school readiness grant award allocated to the town pursuant to subsection (c) or (d) of this section or section 10-16u and the number of operating sites for coordination, program evaluation and administration. Such amount shall be increased by an amount equal to local funding provided for early childhood education coordination, program evaluation and administration, not to exceed twenty-five thousand dollars. Each town that receives a grant pursuant to subsection (c) or (d) of this section or section 10-16u shall designate a person to be responsible for such coordination, program evaluation and administration and to act as a liaison between the town and the Departments of Education and Social Services. Each school readiness program that receives funds pursuant to this section or section 10-16u shall provide information to the department or the school readiness

council, as requested, that is necessary for purposes of any school readiness program evaluation.

- (h) For the first three years a town receives grants pursuant to this section, such grants may be used, with the approval of the commissioner, to prepare a facility or staff for operating a school readiness program and shall be adjusted based on the number of days of operation of a school readiness program if a shorter term of operation is approved by the commissioner.
 - (i) A town may use grant funds to purchase spaces for eligible children who reside in such town at an accredited school readiness program located in another town. A regional school readiness council may use grant funds to purchase spaces for eligible children who reside in the region covered by the council at an accredited school readiness program located outside such region.
 - (j) Children enrolled in school readiness programs funded pursuant to this section shall not be counted (1) as resident students for purposes of subdivision (22) of section 10-262f, or (2) in the determination of average daily membership pursuant to subdivision (2) of subsection (a) of section 10-261.
 - (k) Up to two per cent of the amount of the appropriation for this section may be allocated to the competitive grant program pursuant to subsection (d) of this section. The determination of the amount of such allocation shall be made on or before August first.

This act shall take effect as follows and shall amend the following sections:

Section 1 July 1, 2012 10-16p

Statement of Legislative Commissioners:

In section 1(b)(2)(A), 1(b)(2)(B), 1(b)(2)(C) and 1(e)(2)(B) for the purpose of clarity, "(1)" and "(2)" were added.

HED Joint Favorable Subst.

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

OFA Fiscal Note

State Impact: None

Municipal Impact: None

Explanation

The bill makes several changes to early childhood educators' required qualifications and is not anticipated to result in a fiscal impact.

The bill: modifies the types of schools from which individuals may earn a qualifying degree, requires staff members who are exempt from meeting the qualifications and who accept a position with a different school readiness program to submit documentation of their progress toward qualifications, and requires individual staff members to apply for any unexpended balance in school readiness funds. To date, no funds have been allocated from the State Department of Education (SDE) for the purposes of funding early childhood teachers in state funded centers.

The Out Years

State Impact: None

Municipal Impact: None

OLR Bill Analysis sSB 39

AN ACT CONCERNING REQUIREMENTS FOR EARLY CHILDHOOD EDUCATORS.

SUMMARY:

This bill makes several changes to the law regarding early childhood educators' required qualifications. It (1) modifies the types of schools from which individuals may earn a qualifying degree; (2) requires staff members who are exempt from meeting the qualifications and who accept employment with a different school readiness program to submit documentation on their progress toward the qualifications; and (3) requires individual staff members, rather than school readiness programs, to apply for any unexpended school readiness funds. It also makes conforming changes.

EFFECTIVE DATE: July 1, 2012

EARLY CHILDHOOD EDUCATOR REQUIREMENTS

The law establishes required qualifications for early childhood educators (see BACKGROUND). Under current law, educators may meet these requirements by, among other things, earning a degree or credential in certain programs from an institution accredited by the Board of Regents for Higher Education (BOR). The bill instead requires institutions to be accredited by BOR or the State Board of Education (SBE) and regionally accredited. The inclusion of SBE conforms to PA 11-48, which made SBE responsible for accrediting independent institutions of higher education in Connecticut.

Current law applies the staff qualification requirements to all preschool programs accepting state funds, including school readiness or childcare services funds and Department of Social Services funds. The bill instead applies the requirements to programs accepting state

funds for spaces associated with their child day care program or school readiness program.

EXCEPTIONS

By law, individuals who (1) hold bachelor's degrees and are employed as teachers on or before June 30, 2015 by an early childhood education program accepting state funds and (2) meet the qualification requirements that are in effect until June 30, 2015 are exempt from the requirements that take effect on July 1, 2015 and July 1, 2020.

If an exempt individual terminates employment with his or her current early childhood education program and accepts employment with a different early childhood education program, the bill requires him or her to submit documentation of his or her progress toward meeting the staff qualifications. The bill does not specify to whom the documentation must be submitted, but requires the State Department of Education (SDE) to determine the manner of submission.

UNEXPENDED SCHOOL READINESS FUNDS

The bill removes local school readiness programs from the process of awarding unexpended readiness funds to help staff meet state qualifications. By law, the education commissioner may use up to \$500,000 in unexpended school readiness funds from each fiscal year in the subsequent fiscal year to help early childhood education programs staff members meet the qualification requirements. The bill requires individual staff members, rather than school readiness programs, to apply for unexpended funds and requires the education commissioner to determine the manner of application.

It also requires SDE, rather than the local school readiness program, to provide the assistance to staff members. In awarding assistance, SDE must give preference to staff members attending a BOR- or SBE-accredited institution that is also regionally accredited.

BACKGROUND

Early Childhood Educator Requirements

Until July 1, 2015, the law requires that in each school readiness classroom, there is an individual with (1) a childhood development credential, associate's degree, or bachelor's degree that includes 12 credits or more in early childhood education or child development or (2) a teaching certificate with an endorsement in early childhood education or special education. The credentialing organization must be approved by the education commissioner, and the early childhood education or childhood development credits must be from an institution accredited by BOR or regionally accredited. The early childhood education or child development credits must be determined by the BOR president, in consultation with the education and social services commissioners.

By law, from July 1, 2015 to June 30, 2020, at least 50% of individuals with primary responsibility for a classroom of children must have (1) a bachelor's degree with a concentration in early childhood education from an institution accredited by BOR or (2) a teaching certificate with an endorsement in early childhood or early childhood special education. Early childhood educators who do not meet this requirement must hold an associate's degree in an eligible field.

Effective July 1, 2020, the law requires that all, rather than only 50%, of the individuals with primary responsibility for a classroom of children meet the requirement to have a bachelor's degree or teaching certificate.

COMMITTEE ACTION

Higher Education and Employment Advancement Committee

Joint Favorable Substitute Yea 16 Nay 0 (02/21/2012)